

# AUTOMATIC INVESTMENT PLAN

- Use this form to establish an Automatic Investment Plan (AIP) on your account. An AIP allows you to add regularly to your mutual fund account by authorizing us to deduct money directly from your checking or savings account on a periodic basis.
- Your bank must be a member of the Automated Clearing House (ACH) to establish an AIP.
- If you are changing, or adding new bank instructions, please have your signature guaranteed or validated in Section 4.

**1. Account Information**

Fund Family Name	Account Number(s)
Owner's Name	Social Security Number
Date of Birth	Telephone Number
Street Address	City State Zip Code

**2. Automatic Investment Plan**

I authorize electronic funds transfers through the Automated Clearing House (ACH) for this account as indicated below. I understand that there is no charge for this service from the Fund or its transfer agent, although my bank may have charges that may apply, and I may cancel upon 30 days written notice to the address listed on the bottom of this form. I also understand that if the automatic purchase cannot be made due to insufficient funds or another restriction placed on my account a fee will be assessed, and the Fund's transfer agent may discontinue this service to my account.

**1. Amount**      \$ \_\_\_\_\_

**2. Frequency** (choose one):

- Monthly     
  Twice Monthly     
  Quarterly     
  Annually     
  Twice Annually

Start Date:                      Month \_\_\_\_\_ Day\* \_\_\_\_\_

Second Date (for twice options): Month \_\_\_\_\_ Day\* \_\_\_\_\_

\*If no day is specified, the draft will be made on the 25<sup>th</sup> day of the month or the following business day if the 25<sup>th</sup> falls on a weekend or holiday. If no month is specified, the draft will start in the month received if it is at least 5 days prior to day selected, otherwise it will be the following month. If you already have instructions on file, this will replace your existing instructions.

**3. Investment Options:** (If nothing is marked it will be done proportionately across all funds)

- Per Model     
  Proportionately Across All Funds Owned     
  Specific Fund(s): (list below)

Fund Name and Share Class	Specify Dollar Amount
	\$
	\$
	\$
	\$
<b>Total</b>	\$

**3. Banking Information**

Bank Account Name \_\_\_\_\_ Bank Account Number \_\_\_\_\_

Bank Name \_\_\_\_\_ Routing Number \_\_\_\_\_

Bank Address \_\_\_\_\_ Bank Telephone \_\_\_\_\_

**PLEASE ATTACH A VOIDED CHECK TO THIS FORM**  
**PLEASE DO NOT USE A DEPOSIT TICKET**

**4. Certifications and Signatures**

By signing below, I hereby certify and affirm that I have the authority and legal capacity to purchase shares of the Fund as indicated in this form and that the information contained herein is complete and accurate as of the date hereof. If this account is an IRA, I understand that all contributions will be coded as current year. I have received and read a current prospectus, agree to be bound by its terms and understand the risks associated with investing. This AIP service may be discontinued by the account holder upon 30 days written notice or by phone.

\_\_\_\_\_  
Signature of Owner

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Joint Owner (if applicable)

\_\_\_\_\_  
Date

Medallion Signature Guarantee\*

OR

Signature Validation Stamp

Medallion Signature Guarantee\*

OR

Signature Validation Stamp

\*The Funds and their transfer agent will accept a Medallion Signature Guarantee Stamp or Signature Validation Program Stamp executed by eligible issuers participating in the Securities Transfer Agents Medallion Program 2000 (STAMP 2000) on your non-financial account request. Eligible issuers include U.S. domestic banks, credit unions, savings associations (including savings and loan associations), trust companies, national securities exchanges, registered securities associations, clearing agencies, and participating brokers/dealers. Please keep in mind that if any part of your request results in a financial transaction, we will require a Medallion Signature Guarantee. Please note that a Notary Public stamp is not acceptable.

**Acceptable methods of receipt include mail and fax. Must mail form if a Medallion Signature Guarantee or Signature Validation Stamp is required. Email is not acceptable.**

**Mail Completed Form:**

Ultimus Fund Solutions  
PO Box 541150  
Omaha, NE 68154

**Overnight Deliveries:**

Ultimus Fund Solutions  
4221 N 203<sup>rd</sup> St, Suite 100  
Elkhorn, NE 68022

**Fax:**

402-963-9094